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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Applicati n Number	10/029,180
Filing Date	12/22/2001
First Named Inventor	Cali
Group Art Unit	
Examiner Name	
Attorney Docket Number	MIC-004 (1003/005)

Total Number of Pages in This Submission 5

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks 1. PTO Form 1449 2. Copies of References 3. Return postcard		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Wayne A. Keown - Reg. No. 33,923
Signature	<i>Wg A. Keown</i>
Date	5/21/02

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail to: Assistant Commissioner for Patents, Box IDS, Washington, DC 20231 on : 5/21/02

Typed or printed name	Melanie Legare		
Signature	<i>Melanie Legare</i>	Date	5/21/02

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#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cali et al.
Serial No.: 10/029,180
Filed: December 22, 2001
Entitled: Novel Regulators of Fungal Gene Expression
Examiner:
Group Art Unit:
Attorney Docket No.: MIC-004 (1003/005)

Assistant Commissioner for Patents
Box IDS
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants and their attorney are aware of the following publications and information listed on the attached PTO Form 1449, and in accordance with 37 C.F.R. §1.97 hereby submit these publications for the Examiner's consideration.

Applicants state under 37 C.F.R. §1.97(e)(1) that none of the publications were cited in an International Search Report issued in connection with a counterpart Patent Cooperation Treaty application.

This submission does not represent that a search has been made and does not constitute an admission that the listed documents are material to patentability or that the listed documents are prior art. If it should be determined that any of the listed documents constitute "prior art" under United States law, Applicants reserve the right to present to the Office relevant facts and law regarding the appropriate status of such documents.

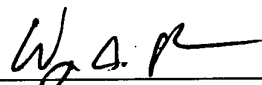
U.S.S.N. 10/029,180
Filed: December 22, 2001
Cali et al.
Page 2

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits and is therefore submitted as both timely and proper. Therefore, no fees are believed to be due.

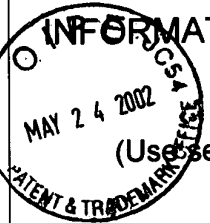
Respectfully submitted,

Date: _____

5/21/02



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Subt. Form PTO-1449  (Use several sheets if necessary)	Docket Number	Application Number
	MIC-004	10/029,180
	Applicant	
	Filing Date	Group Art Unit
	12/22/01	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	IDENTIFIER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	IDENTIFIER	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	IDENTIFIER	
	C1	Bundgaard et al. (1972), "A new spectrophotometric method for the determination of penicillins," 24 Journal of Pharm Pharmac 790-794
	C2	Alberts et al. (1980), "Mevinolin: A Highly Potent Competitive Inhibitor of Hydroxymethylglutaryl-Coenzyme A Reductase and a Cholesterol-Lowering Agent," 77 Proc. Natl. Acad. Sci. USA 3957-3961
	C3	Borel (1986), "Ciclosporin and Its Future," 38 Prog. Allergy 9-18
	C4	Demain (1992), "Microbial secondary metabolism: a new theoretical frontier for academia, a new opportunity for industry," 171 Ciba Found Symp 3-16
	C5	Brakhage (1994), "Molecular Regulation of B-Lactam Biosynthesis in Filamentous Fungi," 62 Microbiol. Mol. Biol. Rev. 547-585
	C6	(1994) Fungal Physiology, Griffin et al., 9:246-274
	C7	Luengo et al. (1994), "Penicillin Biosynthesis," 29 Prog. Ind. Microbiol. 603-638
	C8	Jensen et al. (1995), "Beta-Lactams," 28 Biotechnology 239-268
	C9	Penalva et al. (1998), "The optimization of penicillin biosynthesis in fungi," 16 Trends Biotechnol. 483-489
	C10	Weig et al. (1998), "Clinical aspects and pathogenesis of Candida infection," 6 Trends in Microbiology 468-470
EXAMINER:		DATE CONSIDERED:
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not consid red. Include copy with next communication to Applicant.		

<p>Subt. Form PTO-1449</p> <p>INFORMATION DISCLOSURE IN AN APPLICATION</p> <p>(Use several sheets if necessary)</p> <p>U.S. PATENT & TRADEMARK OFFICE MAY 24 2002</p>	<p>Docket Number</p> <p>MIC-004</p>	<p>Application Number</p> <p>10/029,180</p>
	<p>Applicant</p> <p>Cali et al.</p>	
	<p>Filing Date</p> <p>12/22/01</p>	<p>Group Art Unit</p>

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